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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/771,008

01/26/2001

Dallan W. Quass

20192-2.00US

CONFIRMATION NO. 6345

20350 TOWNSEND AND TOWNSEND AND CREW TWO EMBARCADERO CENTER **EIGHTH FLOOR** SAN FRANCISCO, CA 94111-3834

FORMALITIES LETTER *OC000000005905863*

Date Mailed: 03/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

05/29/2001 SDENBOB1 00000042 201430 09771008

01 FC:201 02 FC:205 355.00 CH 65.00 CH A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMIT	I	AL
FORM		

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Typed or printed name

Signature

Diane-Hawley

Application Number 09/771,008

Filing Date January 26, 2001

First Named Inventor Quass, Dallan W.

Group Art Unit 2152

Examiner Name Not Assigned

Attorney Docket Number 20192-2.00

May 21, 2001

May 21, 2001

ENCLOSURES (check all that apply)							
Fee Transmittal F	orm	Assignment Pap		After Allowance Communication to Group			
Fee Attached		☑ Drawing(s)		Appeal Communication to Board of Appeals and Interferences			
Amendment / Res	sponse	Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final		Petition Routing Slip (PTO/SB/69) and Accompanying Petition		Proprietary Information			
Affidavits/dec	laration(s)	Petition to Convert to a Provisional Application		Status Letter			
Extension of Time	e Request	Power of Attorney, Revocation Change of Correspondence Address		Other Enclosure(s) (please identify below):			
Express Abandonment Request Terminal Disclaimer Request for Refund			Return Postcard				
☐ Information Disclo	sure Statement	CD, Number of CD(s)					
Certified Copy of Document(s)	Priority	Remarks	Remarks The Commissioner is authorized to charge any additional fees Deposit Account 20-1430.				
	Response to Missing Parts/ Incomplete Application						
	Response to Missing Parts under 37 CFR 1.52 or 1.53						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Townsend and Townsend and Crew LLP							
and Individual name	Kenneth R. Allen Reg No. 27,301						
Signature	Kenneth R. Allen						
Date .	May 21, 2001						
CERTIFICATE OF MAILING							

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PA 3146709 v1

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Attorney Docket No.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

(\$)

over original patent

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SUBTOTAL (2)

**or number previously paid, if greater; For Reissues, see above

460

Complete if Known

Application Number 09/771,008

Filing Date January 26, 2001

First Named Inventor Quass, Dallan W. et al.

Examiner Name Not Assigned

Group Art Unit 2152

20192-2.00

The Commissioner is authorized to charge any additional fees to

SUBTOTAL (3)

(\$)105

the above noted Deposit Account.

*Reduced by Basic Filing Fee Paid

TOTAL AMOUNT OF PAYMENT

METHOD OF PAYMENT FEE CALCULATION (continued) 3. ADDITIONAL FEES The Commissioner is hereby authorized to charge \boxtimes 1. indicated fees and credit any over payments to: Entity Large Entity Small Fee Fee (\$) Fee Description Deposit Code Code (\$) Pald 20-1430 Account 65 105 130 205 65 Surcharge - late filing fee or oath Number 127 227 50 25 Surcharge - late provisional filing fee or cover sheet. Deposit 139 130 139 130 Non-English specification Account Townsend and Townsend and Crew LLP For filing a request for reexamination Name 147 2,520 147 2,520 112 112 Requesting publication of SIR prior to Charge Any Additional Fee Required 920* 9201 Examiner action Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. 113 1,8401 113 1.840* Requesting publication of SIR after See 37 CFR 1.27 Examiner action 2. 🗆 Payment Enclosed: 115 110 215 55 Extension for reply within first month 195 116 390 216 Extension for reply within second ☐ Other ☐ Check ☐ Credit card ☐ Money month 117 890 217 445 Extension for reply within third month **FEE CALCULATION** 118 1,390 218 695 Extension for reply within fourth month **BASIC FILING FEE Entity Small** Entity 128 1.890 228 945 Extension for reply within fifth month Large 119 310 219 155 Notice of Appeal Fee Fee Fee Description Fee Paid Code Code (\$) (\$) 120 310 220 155 Filing a brief in support of an appeal 101 710 201 355 Utility filing fee 355 121 221 Request for oral hearing 270 135 160 Design filing fee 320 206 106 Petition to institute a public use 138 1,510 138 1.510 107 490 207 245 Plant filing fee proceeding 240 55 140 110 Petition to revive - unavoidable 355 Reissue filing fee 108 710 208 114 150 214 75 Provisional filing fee 141 1,240 241 620 Petition to revive - unintentional 142 1,240 242 620 Utility issue fee (or reissue) SUBTOTAL (1) (\$)355 143 440 243 220 Design issue fee 600 244 300 Plant issue fee 144 2. EXTRA CLAIM FEES 122 130 122 130 Petitions to the Commissioner Extra Fee from Petitions related to provisional Claims below Paid 123 50 123 50 applications -20* Total Claims 15 х \$0 10 \$9 Submission of Information Disclosure Independent 126 180 126 180 3 0 х \$0 -3** \$40 Stmt Claims Recording each patent assignment Multiple 40 Х 581 40 581 40 per property (times number of)ependent properties) Entity Small Entity Large 146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a)) Fee Description (\$) Code (\$) Code 149 710 249 355 For each additional invention to be 103 18 203 Claims in excess of 20 examined (37 CFR § 1.129(b)) 102 80 202 40 Independent claims in excess of 3 179 710 279 355 Request for Continued Examination (RCE) Multiple dependent claim, if not paid 104 270 204 135 169 900 169 900 Request for expedited examination ** Reissue independent claims over 109 80 209 40 of a design application original patent ** Reissue claims in excess of 20 and Other fee (specify) 110 18 210 9

SUBMITTED BY Complete (if applicable)					
Name (Print/Type)	Kenneth B. Allen	Registration No. (Attorney/Agent)	27,301	Telephone	650-326-2400
Signature	Kenneth	R. Alln		Date	May 21, 2001

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